

## Terms and Conditions of “RakuNewYear Campaign: RakuRanger Perks”

### RakuNewYear Campaign

1. The following Terms & Conditions shall apply to Rakuten Trade Sdn. Bhd. (“Rakuten Trade”) “RakuNewYear Campaign: RakuRanger Perks” (hereinafter referred to as “the Campaign”). This Campaign shall be effective from 1st January 2021 to 26th February 2021 (“Campaign Period”) and subject to these Terms and Conditions.

### Eligibility

2. By participating in this Campaign, you hereby agree to be bound by the following Terms and Conditions.
3. The Campaign terms and conditions (“Terms and Conditions”) shall apply to all Eligible Participants who successfully perform buy and sell trades of the same counter on the same day via their Rakuten Trade contra account (“Account”). An account is activated upon the issuance of a Central Depository System (CDS) number.
4. Employees of Rakuten Trade shall be eligible to participate in this Campaign.
5. Any individual who is below the age of eighteen (18) years as of the activation date with Rakuten Trade shall NOT be eligible for this Campaign.

### Campaign Mechanism: Intra Share Transfer Fee Rebate

6. “Account Share Transfer” refers to the transfer of paid shares from one Eligible Participant to another Eligible Participant. “Intra-Account Share Transfer” refers to the transfer of shares between different accounts held by the same Eligible Participant with Rakuten Trade.
7. Eligible Participants shall receive a rebate equivalent to the amount fees paid in the respective account, upon transfer of shares to the relevant account, either from
  - a) Selected Intra-Account Share Transfer; or
  - b) Account Share Transfer to an Immediate Family Member during the Campaign Period
  - c) This rebate will be paid to Eligible Participants within 30 calendar days following the Campaign Period end date.
    - i. The **Intra-Account Share Transfer fee** is paid based on the following:
      1. When transferring shares from a Cash Upfront account to a Contra account or to a RakuMargin account.
      2. When transferring shares from a Contra account to a RakuMargin account.
      3. When transferring shares from a RakuMargin account to a Contra account.

- ii. A rebate on the fees paid for an Account **Share Transfer to an Immediate Family Members** given based on the following:
    1. When transferring shares from a Rakuten Trade Cash Upfront or Contra account to Immediate Family Member' Rakuten Trade Cash Upfront, Contra, or RakuMargin account.
8. "Immediate Family Members" refers to spouse (husband or wife), parents (mother or father either by birth or legal adoption), sibling (brother or sister), children (son or daughter either by birth or legal adoption).
9. The rebate for Account Share Transfer fees paid when transferring to or from a RakuMargin account shall be credited to the Eligible Participants' Cash Upfront account. Should the Eligible Participant not hold an active Cash Upfront account, the rebate shall be credited to their Contra account.
10. Eligible Participants must provide Proper Documentation to prove legal relationship to the Immediate Family Members receiving the share transfer prior to the request being placed and before the Campaign Period ends.
  - i. Proper Documentation includes but is not limited to certified true copies of marriage certificates, birth certificates, and/or adoption papers.
  - ii. Immediate Family Members must have activated a Rakuten Trade account prior to the share transfer request being placed and before the Campaign Period ends.

**Campaign Mechanism: The RakuFriends 888 New Year Campaign**

11. Eligible Participants may refer new customers (hereinafter referred to as the "Referred Participant") to Rakuten Trade through a unique referral code (hereinafter referred to as "Referral Code").
12. The Referral Code MUST be used during the Referred Participant's account opening on page 1 (by keying-in the Referral Code), for both the Eligible Participant and Referred Participant to remain eligible.
13. Upon the successful activation of the Referred Participant's Account, both Eligible Participant and Referred Participant shall receive the rewards as follows:
  - i. 888 Rakuten Trade points (RT Points) to the Eligible Participant
  - ii. 500 Rakuten Trade points (RT Points) to the Referred Participant

Rakuten Trade Points will be credited within thirty (30) days after the end of the Campaign Period.

14. This Campaign CANNOT be combined with any other Rakuten Trade account opening related rewards, Loyalty Partner campaign, or promotion code.
15. If a promotion code is used together with the Referral Code in the Referred Participant's account activation process, the Referred Participant shall be disqualified from the Campaign. However, the Eligible Participant remains eligible for this Campaign.
16. If a Social Educator's unique URL is used together with the Referral Code in the Referred Participant's account activation process, the Referred Participant shall be disqualified from the Campaign. However, the Eligible Participant remains eligible for this Campaign. A Social Educator refers to an independent individual or company who shares trading related content about Rakuten Trade on a social media platform.
17. Eligible Participants may be awarded multiple times for each different unique Referred Participants during the Campaign Period.

#### **Campaign Mechanism: 2X Rakuten Trade Points For Contra Trading**

18. Eligible Participants that trade (Buy or Sell) with Contra Account shall be awarded double (2x) the Rakuten Trade (RT) points earned through the "Trade & Earn Campaign (RM2 = 1 RT points)".
19. The additional points will be awarded within thirty (30) calendar days after the end of the Campaign Period.

#### **Campaign Mechanism: Ang Pow Campaign**

20. Ang Pow Campaign refers to the transfer of RT Points from one Eligible Participant to another Eligible Participant during the Campaign Period.
21. This Campaign is capped at 2,888 requests only.
22. Eligible Participants shall submit the conversion request during the Campaign Period via the Microsoft forms at [https://forms.office.com/Pages/ResponsePage.aspx?id=Yzf\\_YO-R0EKoM2eoF6HnQb88tyAdxmZKt7DMg-KJeOBUMEMxV1JOVkdORFVIOVU4WjdNNkEwVFJFUy4u](https://forms.office.com/Pages/ResponsePage.aspx?id=Yzf_YO-R0EKoM2eoF6HnQb88tyAdxmZKt7DMg-KJeOBUMEMxV1JOVkdORFVIOVU4WjdNNkEwVFJFUy4u) before 26 February 2021.

#### **General**

23. By participating in the Campaign all Eligible Participants are deemed to have agreed to be bound by these Terms & Conditions. Rakuten Trade's decision on all matters relating to the Campaign is final, binding and conclusive. No correspondence, queries, appeals or protests

will be entertained.

24. Rakuten Trade reserves the right to cancel, terminate, suspend the Campaign with or without any prior notice. For the avoidance of doubt, cancellation, termination or suspension by Rakuten Trade shall not entitle any of the Eligible Participants to any claim or compensation against Rakuten Trade for any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination or suspension.
25. Rakuten Trade reserves the right at its absolute discretion to amend, delete or add to any of these Terms and Conditions at any time with or without prior notice. Any variation, additions, deletions or amendments to the Terms and Conditions herein shall be binding on the Eligible Participants and be deemed to be brought to the attention of the Eligible Participants through any notice displayed at Rakuten Trade's website <https://www.rakutenrade.my/b/campaigns>.
26. Rakuten Trade shall not be held liable and/or responsible for any loss, damage or any other form of liability (including indirect, consequential or economic losses, loss of profits, and loss of opportunity) in whatsoever nature and howsoever arising or suffered by any Eligible Participants directly or indirectly from the Campaign.
27. Rakuten Trade shall not be held liable for any default of its obligation under the Campaign due to any force majeure event which include but is not limited to an act of God, war, riot, lockout, industrial action, fire, flood, drought, store or any event beyond the reasonable control of Rakuten Trade.
28. This Campaign shall be governed by and construed in accordance with the laws of Malaysia and subject to the exclusive jurisdiction of the Courts of Malaysia.
29. Participation in this Campaign shall be deemed consent by the Eligible Participant for Rakuten Trade to collect, process and use the Eligible Participant's personal data in accordance with Rakuten Trade's Personal Data Protection Notice (which is available at [https://www.rakutenrade.my/files/pdpa\\_notices.pdf](https://www.rakutenrade.my/files/pdpa_notices.pdf)).