

## **Terms and Conditions of “RakuTrade & Win Contest”**

1. The following Terms & Conditions shall apply to Rakuten Trade Sdn. Bhd. (“Rakuten Trade”) “**RakuTrade & Win Contest**” (hereinafter referred to as “the Campaign”). This Campaign shall be held from **7<sup>th</sup> March 2022 – 28<sup>th</sup> May 2022** (hereinafter referred to as “Campaign Period”).

### **Eligibility**

2. By participating in this Campaign, you hereby agree to be bound by the following Terms and Conditions.
3. The Campaign terms and conditions (“Terms and Conditions”) shall apply to all existing Rakuten Trade clients who have activated the Foreign Trading service via their Cash Upfront Account during the Campaign Period.
4. A Rakuten Trade account is activated upon the issuance of a Central Depository System (CDS) number.
5. A Foreign Trading service is activated upon the issuance of Interactive Brokers (IBKR) number.
6. Eligible Participants who terminate their existing Rakuten Trade account and thereafter activate a new Rakuten Trade account (Cash Upfront) during the Campaign Period shall NOT be eligible to participate in this Campaign.
7. Any individual who is below the age of eighteen (18) years as of the activation date shall NOT be eligible to participate in the Campaign.

### **Campaign Mechanism**

8. The Campaign comprises of two parts, weekly contest and the grand finale contest.

### **Weekly Contest**

9. Eligible Participants who have performed at least 1 successful trade in the U.S. market shall automatically qualify to enter a lucky draw to win 1 FREE share.
10. 50 Eligible Participants will be selected based on a weekly lucky draw and shall reward the lucky draw winners with 1 FREE share.
  - i. Each Eligible Participant is entitled to win only one (1) prize only during the weekly Contest.
11. Weekly Contest dates are as defined as below.

Campaign Week	Date (U.S)
Week 1	7 March – 11 March
Week 2	14 March - 18 March
Week 3	21 March – 25 March
Week 4	28 March – 1 April
Week 5	4 April – 8 April
Week 6	11 April – 15 April
Week 7	18 April – 22 April

Week 8	25 April – 29 April
Week 9	2 May – 6 May
Week 10	9 May – 13 May
Week 11	16 May – 20 May
Week 12	23 May – 27 May

12. The weekly prizes are predetermined as follows. However, Rakuten Trade has the right and at its absolute discretion to amend the weekly prizes at any time with or without prior notice.

Weekly Prize	Number of Weekly Winners	Week 1 (7-11 Mar)	Week 2 (14-18 Mar)	Week 3 (21-25 Mar)	Week 4 (28-Mar to 1-Apr)
1 <sup>st</sup> prize	2	META PLATFORMS (FB)	MICROSOFT CORP (MSFT)	TARGET CORP (TGT)	NVIDIA CORP (NVDA)
2 <sup>nd</sup> prize	3	APPLE INC (AAPL)	SPOTIFY TECHNOLO (SPOT)	WALT DISNEY CO (DIS)	WALMART INC (WMT)
3 <sup>rd</sup> prize	5	STARBUCKS CORP (SBUX)	PAYPAL HOLDINGS (PYPL)	COCA-COLA CO/THE (KO)	COLGATE-PALMOLIV (CL)
4 <sup>th</sup> prize	10	AT&T INC (T)	PINTEREST INC-A (PINS)	DISCOVERY INC - (DISCA)	LEVI STRAUSS-A (LEVI)
5 <sup>th</sup> prize	30	KRISPY KREME INC (DNUT)	PALANTIR TECHN-A (PLTR)	HEWLETT PACKA (HPE)	FORD MOTOR CO (F)

Weekly Prize	Number of Weekly Winners	Week 5 (4-8 Apr)	Week 6 (11-15Apr)	Week 7 (18-22 Apr)	Week 8 (25-29-Apr)
1 <sup>st</sup> prize	2	TOYOTA MOTOR CORP (TM)	META PLATFORMS (FB)	APPLE INC (AAPL)	JD.COM INC (JD)
2 <sup>nd</sup> prize	3	ALIBABA GROUP HOLDING LTD (BABA)	SEA LIMITED (SE)	AIRBNB, INC. (ABNB)	WALT DISNEY CO (DIS)
3 <sup>rd</sup> prize	5	BEST BUY CO (BBY)	ARK INNOVATION ETF (ARKK)	COCA-COLA CO/THE (KO)	STARBUCKS CORP (SBUX)
4 <sup>th</sup> prize	10	NIO INC (NIO)	ROBLOX (RBLX)	PINTEREST INCA (PINS)	TWITTER (TWTR)
5 <sup>th</sup> prize	30	GRAB HOLDINGS LIMITED (GRAB)	MATTERPORT INC (MTTR)	UNDER ARMOUR INC CLASS A (UA)	PALANTIR TECHN-A (PLTR)

13. A successful trade refers to either buy or sell transaction with “Matched” and/or “Partial Matched” status.

14. The weekly top 10 winner announcement with the winners' full name and last 4 digits of NRIC will be announced on all Rakuten Trade assets every Monday during the Campaign week.
15. The free share shall be credited to the Eligible Participants account within forty-five (45) calendar days after the end of the Campaign Period.

### **Grand Finale Contest**

16. 1,000 Eligible Participants shall win 1 FREE share from Rakuten Trade. Winning participants will be selected based on a lucky draw during the Campaign Period.
17. 1 successful U.S. trade will earn Eligible Participants 1 entry to the Grand Finale Contest lucky draw. Eligible Participants are allowed 1 entry for each successful U.S. trade.
18. Prizes in the Grand Finale Contest are as follows.

<b>Grand Prize</b>	<b>Number of Eligible Participants</b>	<b>Stock (1 unit)</b>
1 <sup>st</sup> prize	1	ALPHABET INC-A (GOOGL)
2 <sup>nd</sup> prize	2	TESLA INC (TSLA)
3 <sup>rd</sup> prize	3	Vanguard S&P 500 ETF (VOO)
4 <sup>th</sup> prize	5	META PLATFORMS (FB)
5 <sup>th</sup> prize	100	ADV MICRO DEVICE (AMD)
Consolation	889	Brokerage rebate worth RM 9

19. Rakuten Trade reserves the right at its absolute discretion to amend the prizes at any time with or without prior notice.

The Grand finale top 6 winner announcement with the winners' full name and last 4 digits of NRIC will be announced on all Rakuten Trade assets after the end of the Campaign Period.

20. The shares shall be credited to the Eligible Participants account within forty-five (45) calendar days after the end of the Campaign Period.
21. Eligible Participants can only win up to 2 FREE shares inclusive of 1x Weekly Contest and Grand Finale Contest.

### **General**

22. By participating in the Campaign, all Eligible Participants are deemed to have agreed to be bound by these Terms & Conditions. Rakuten Trade's decision on all matters relating to the Campaign is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
23. Participation in this Campaign shall be deemed acceptance and consent by the Eligible Participants for their personal data (including but not limited to the name, national identity card number or passport number) being collected, processed by Rakuten Trade for the purpose of this Campaign and in accordance with Rakuten Trade's Personal Protection Notice which is available at [https://www.rakutenrade.my/files/pdpa\\_notices.pdf](https://www.rakutenrade.my/files/pdpa_notices.pdf).
24. Rakuten Trade reserves the right to cancel, terminate, suspend the Campaign with or without any prior notice. For the avoidance of doubt, cancellation, termination or suspension by Rakuten Trade shall not entitle any of the Eligible Participant to any claim or compensation against Rakuten Trade

for any losses or damages suffered or incurred by the Eligible Participant as a direct or indirect result of the act of cancellation, termination or suspension.

25. Rakuten Trade reserves the right at its absolute discretion to amend, delete or add to any of these Terms and Conditions at any time with or without prior notice. Any variation, additions, deletions or amendments to the Terms and Conditions herein shall be binding on the Eligible Participants, and be deemed to be brought to the attention of the Eligible Participant through any notice displayed at Rakuten Trade's website <https://www.rakutentrade.my/b/campaigns>.
26. Rakuten Trade shall not be held liable and/or responsible for any loss, damage or any other form of liability (including indirect, consequential or economic losses, loss of profits, and loss of opportunity) in whatsoever nature and howsoever arising or suffered by any Eligible Participants directly or indirectly from the Campaign.
27. Rakuten Trade shall not be held liable for any losses or liabilities arising from any force majeure event which include but is not limited to an act of God, war, riot, lockout, industrial action, fire, flood, drought, store or any event beyond the reasonable control of Rakuten Trade.
28. This Campaign shall be governed by and construed in accordance with the laws of Malaysia and subject to the exclusive jurisdiction of the Courts of Malaysia.